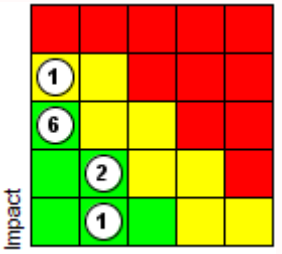
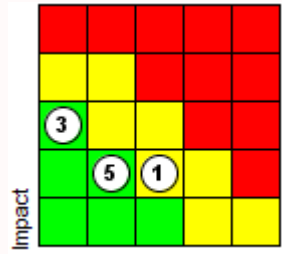
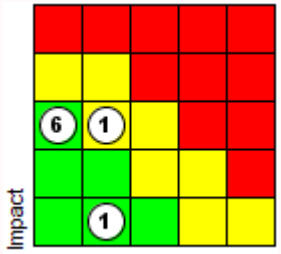
































# Service Risk Register – Environmental Services



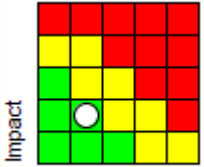

Generated on: 20 September 2011

Environmental Services		
Facilities	Health & Environment	Streetscene
 <p>Impact</p> <p>Likelihood</p>	 <p>Impact</p> <p>Likelihood</p>	 <p>Impact</p> <p>Likelihood</p>



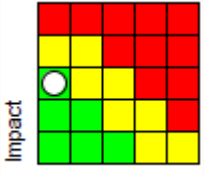
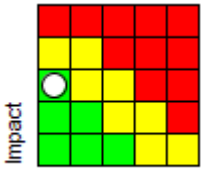
Key	
Risk Status	
	OK
	Warning
	Alert
	Unknown





Risk Summary					
Status	Code	Title	Status	Code	Title
	FE 01	Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet		HE 05	Contaminated Land.
	FE 02	Failure to adhere to correct procedures in Landlord and Tenant matters.		HE 06	Health & Safety Enforcement
	FE 03	Failure in carrying out rent and lease reviews.		HE 07	MVM Software and e government developments
	FE 04	Capital projects		HE 09	Food Safety
	FE 05	Street Lighting - knockdowns		HE 10	Local Air Quality Management (LAQM)-Air Quality Review and Assessment
	FE 06	Street Lighting		SS 01	Waste Management (recycling & refuse collection)
	FE 07	Failure to regularly inspect the condition of all buildings		SS 02	Failure to provide a collection service for Trade Waste.
	FE 08	Property Unit – team member leaving		SS 03	Failure to provide a street cleaning service.
	FE 10	Property Unit – inadequate training		SS 04	Failure to ensure that Taxi's are appropriately licensed.
	HE 01	Inadequate capacity to respond to major incident		SS 05	Failure to secure and administer 'minor contracts'
	HE 02	Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure		SS 06	Failure to maintain vehicles.
	HE 03	Recruitment & Retention of staff		SS 07	failure to comply with Health & Safety
	HE 04	Private Water Supply regulations		SS 08	Depot security is compromised.





**FE 01 Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet**





Risk Code	Risk Title	Description	Status	
FE 01	Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet	Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet		
<b>Consequences</b>		Financial cost to the Council and breach of S123 Local Gov Act.		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	<b>Original Impact</b>	B	<b>Original Likelihood</b>	2
		Minor		Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	<b>Current Impact</b>	B	<b>Current Likelihood</b>	2
		Minor		Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	<b>Target Impact</b>	B	<b>Target Likelihood</b>	1
		Minor		Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -Risk reviewed, qualified asset manager in place			19-Sep-2011	Phil Long





FE 02 Failure to adhere to correct procedures in Landlord and Tenant matters.

Risk Code	Risk Title	Description			Status
FE 02	Failure to adhere to correct procedures in Landlord and Tenant matters.	Failure to adhere to correct procedures in Landlord and Tenant matters.			
<b>Consequences</b>		Not obtaining vacant possession of properties when required.			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
			Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
			Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	C	<b>Target Likelihood</b>	1
			Medium		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- landlord and tenancy agreements updated				28-May-2009	Phil Long

FE 03 Failure in carrying out rent and lease reviews.				
Risk Code	Risk Title	Description	Status	
FE 03	Failure in carrying out rent and lease reviews.	Failure in carrying out rent and lease reviews.		
<b>Consequences</b>		Not maximising rental income		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	Original Impact	C	Original Likelihood	1
		Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	Current Impact	C	Current Likelihood	1
		Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	Target Impact	C	Target Likelihood	1
		Medium		Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- rent reviews updated			28-May-2009	Phil Long


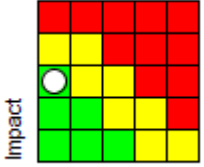


FE 04 Capital projects				
Risk Code	Risk Title	Description	Status	
FE 04	Capital projects	Capital projects		
<b>Consequences</b>		Failure to implement and complete within specified dates. Exceed budget. Reputation		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	Original Impact	B	Original Likelihood	2
		Minor		Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	Current Impact	B	Current Likelihood	2
		Minor		Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	Target Impact	C	Target Likelihood	1
		Medium		Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- capital projects on track with good progression and regular monitoring at asset management group and resources working party-			28-May-2009	Phil Long





FE 05 Street Lighting - knockdowns				
Risk Code	Risk Title	Description	Status	
FE 05	Street Lighting - knockdowns	Street Lighting - knockdowns		
<b>Consequences</b>		Exposure of public to risk of electrocution. Health & Safety		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	<b>Original Impact</b>	D	<b>Original Likelihood</b>	1
		Major		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	<b>Current Impact</b>	D	<b>Current Likelihood</b>	1
		Major		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	<b>Target Impact</b>	D	<b>Target Likelihood</b>	2
		Major		Not Likely
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
30-Aug-2011 additional monitoring is taking place by NYCC			28-May-2009	Phil Long


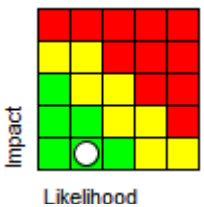
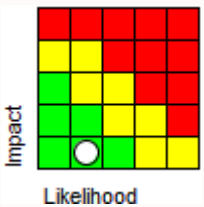
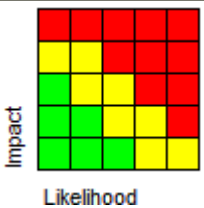
FE 06 Street Lighting				
Risk Code	Risk Title	Description	Status	
FE 06	Street Lighting			
<b>Consequences</b>		Possibility of column collapse		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	Original Impact	C	Original Likelihood	1
		Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	Current Impact	C	Current Likelihood	1
		Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	Target Impact	C	Target Likelihood	1
		Medium		Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- NYCC undertaking administration of this area to enhance focus and gain specialist advice			01-Jun-2009	Phil Long


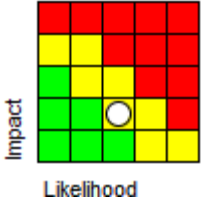
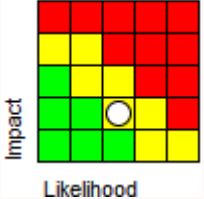
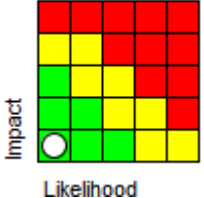


**FE 07 Failure to regularly inspect the condition of all buildings**


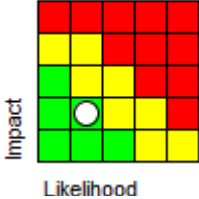
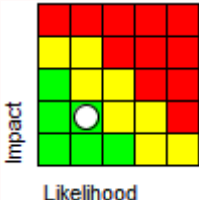
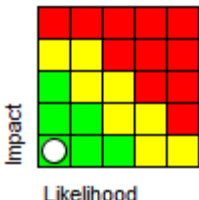
Risk Code	Risk Title	Description			Status
FE 07	Failure to regularly inspect the condition of all buildings				
<b>Consequences</b>		Health & safety and legal implications			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
<b>Current Risk Matrix</b>			<b>Current Impact</b>		Medium
		<b>Target Impact</b>		C	<b>Target Likelihood</b>
<b>Target Risk Matrix</b>			<b>Target Impact</b>	Medium	
		<b>Latest Progress</b>		<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 --buildings subject to inspection. work currently being undertaken on Milton rooms			01-Jun-2009	Phil Long	

FE 08 Property Unit – team member leaving				
Risk Code	Risk Title	Description	Status	
FE 08	Property Unit – team member leaving			
<b>Consequences</b>		Backlog of work / failure in delivery of service		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
		Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
		Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	<b>Target Impact</b>	C	<b>Target Likelihood</b>	1
		Medium		Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- property unit has been subject to review - temporary replacements are available at short notice from bureaus			01-Jun-2009	Phil Long




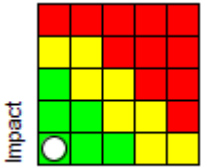
FE 10 Property Unit – inadequate training				
Risk Code	Risk Title	Description		Status
FE 10	Property Unit – inadequate training			
<b>Consequences</b>		Not keeping with up to date with legislation etc resulting in losing professional qualification		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	Original Impact	A	Original Likelihood	2
		Low		Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	Current Impact	A	Current Likelihood	2
		Low		Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	Target Impact		Target Likelihood	
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- training updates as required			01-Jun-2009	Phil Long

HE 01 Inadequate capacity to respond to major incident				
Risk Code	Risk Title	Description	Status	
HE 01	Inadequate capacity to respond to major incident	Inadequate capacity to respond to major incident e.g. food poisoning, major contamination risk or introduction of new legislation e.g. Private water Supplies or new area of work		
<b>Consequences</b>		Capacity to respond to such incident/legislation would impact on the routine work of the section- Inadequate skills and knowledge-Unable to comply with statutory requirements-poor assessment by external inspectors/agencies		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	<b>Original Impact</b>	B	<b>Original Likelihood</b>	3
		Minor		Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	<b>Current Impact</b>	B	<b>Current Likelihood</b>	3
		Minor		Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	<b>Target Impact</b>	A	<b>Target Likelihood</b>	1
		Low		Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
30-Aug-2011 -- The unit has undergone a review and been downsized. Though staffing is tight changes to operational procedures and working systems should ensure that response/risk levels remain as before			11-Jun-2009	Phil Long


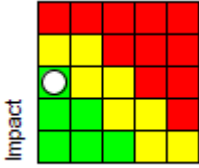

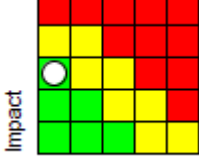
HE 02 Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure

Risk Code	Risk Title	Description			Status
HE 02	Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure				
<b>Consequences</b>		Failure to deliver the Big Lottery Programme-Inadequate skills and knowledge-failure to capitalize on financial grants for the District-failure to advance initiatives in play and sport			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	B	<b>Original Likelihood</b>	2
			Minor		Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	B	<b>Current Likelihood</b>	2
			Minor		Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	A	<b>Target Likelihood</b>	1
			Low		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- arrangements are in place to respond to existing commitments				11-Jun-2009	Phil Long


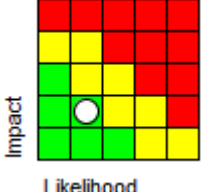
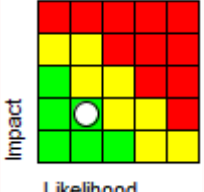
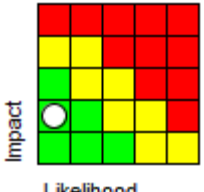
HE 03 Recruitment & Retention of staff

Risk Code	Risk Title	Description		Status	
HE 03	Recruitment & Retention of staff				
<b>Consequences</b>		Inadequate staffing to fulfil service requirements-Difficulty recruiting due to high house prices and national shortage of EHO's-Inadequate experienced and specialised staff.-failure to meet legislative requirements.			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	B  Minor	<b>Original Likelihood</b>	2  Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	B  Minor	<b>Current Likelihood</b>	2  Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	A  Low	<b>Target Likelihood</b>	1  Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 --recruitment less of an issue during recession				11-Jun-2009	Phil Long

HE 04 Private Water Supply regulations


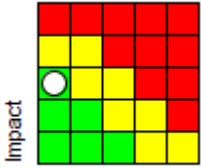

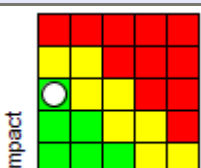
Risk Code	Risk Title	Description			Status
HE 04	Private Water Supply regulations				
<b>Consequences</b>		Non compliance with statutory duty			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
			Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
			Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	C	<b>Target Likelihood</b>	1
			Medium		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 --resources tight but qualified staff in place and dealing with occurrences as required				11-Jun-2009	Phil Long

HE 05 Contaminated Land.




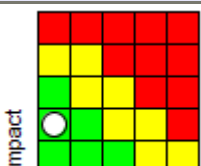
Risk Code	Risk Title	Description		Status	
HE 05	Contaminated Land.	Requirement to identify number of sites of potential concern and number of sites where remediation of land is necessary			
<b>Consequences</b>		Failure to implement contaminated land strategy and limit the Council's liability. Failure to provide funding for additional resources. Shared officer post with Selby now ended. Strategy reviewed by CS&L 31/1/08.			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	B	<b>Original Likelihood</b>	2
			Minor		Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	B	<b>Current Likelihood</b>	2
			Minor		Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	B	<b>Target Likelihood</b>	1
			Minor		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- sites dealt with as required				11-Jun-2009	Phil Long




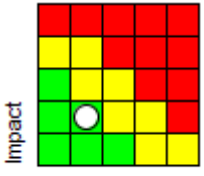
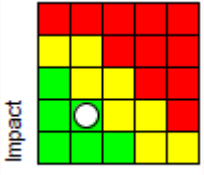
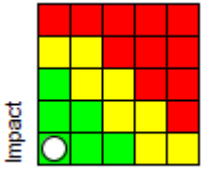
HE 06 Health & Safety Enforcement

Risk Code	Risk Title	Description	Status					
HE 06	Health & Safety Enforcement							
<b>Consequences</b>		(a) Failure to participate in the FIT 3 programme for 2008/09. New Section 18 requirements published and needs reviewing. Have up to 3 years to comply. (b) Incorporate H&S within ISO 9001:2000 Quality Management System						
<b>Original Matrix</b>		<b>Original Rating Description</b>						
		<b>Original Impact</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td data-bbox="1133 440 1469 480" style="text-align: center;">C</td> <td data-bbox="1469 440 1780 480" rowspan="2" style="text-align: center;"><b>Original Likelihood</b></td> <td data-bbox="1780 440 2130 480" style="text-align: center;">1</td> </tr> <tr> <td data-bbox="1133 480 1469 651" style="text-align: center;">Medium</td> <td data-bbox="1780 480 2130 651" style="text-align: center;">Very Low</td> </tr> </table>	C	<b>Original Likelihood</b>	1	Medium	Very Low
C	<b>Original Likelihood</b>	1						
Medium		Very Low						
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>						
		<b>Current Impact</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td data-bbox="1133 691 1469 730" style="text-align: center;">C</td> <td data-bbox="1469 691 1780 730" rowspan="2" style="text-align: center;"><b>Current Likelihood</b></td> <td data-bbox="1780 691 2130 730" style="text-align: center;">1</td> </tr> <tr> <td data-bbox="1133 730 1469 901" style="text-align: center;">Medium</td> <td data-bbox="1780 730 2130 901" style="text-align: center;">Very Low</td> </tr> </table>	C	<b>Current Likelihood</b>	1	Medium	Very Low
C	<b>Current Likelihood</b>	1						
Medium		Very Low						
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>						
		<b>Target Impact</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td data-bbox="1133 941 1469 981" style="text-align: center;">C</td> <td data-bbox="1469 941 1780 981" rowspan="2" style="text-align: center;"><b>Target Likelihood</b></td> <td data-bbox="1780 941 2130 981" style="text-align: center;">1</td> </tr> <tr> <td data-bbox="1133 981 1469 1152" style="text-align: center;">Medium</td> <td data-bbox="1780 981 2130 1152" style="text-align: center;">Very Low</td> </tr> </table>	C	<b>Target Likelihood</b>	1	Medium	Very Low
C	<b>Target Likelihood</b>	1						
Medium		Very Low						
<b>Latest Progress</b>			<b>SMT Lead</b>					
19-Sep-2011 -- review of working arrangements. Agreement with other Districts to provide co-ordinated activity as required			11-Jun-2009					


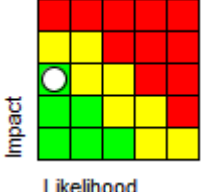
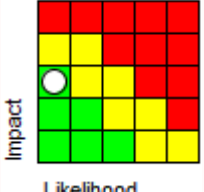
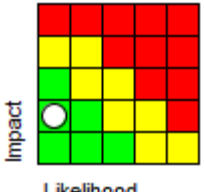
HE 07 MVM Software and e government developments


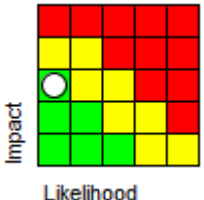
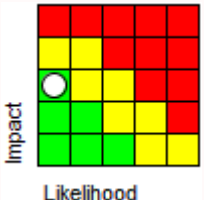
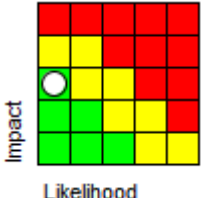
Risk Code	Risk Title	Description		Status	
HE 07	MVM Software and e government developments				
<b>Consequences</b>		Northgate MVM system which deals with all Env Health and Housing systems installed in October 2005.. Next step is to link to the corporate gazetteer to permit the functioning of other e-gov smart options e.g. mobile working etc			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	B  Minor	<b>Original Likelihood</b>	2  Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	B  Minor	<b>Current Likelihood</b>	2  Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	B  Minor	<b>Target Likelihood</b>	1  Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- software requirements under review				11-Jun-2009	Phil Long

HE 09 Food Safety


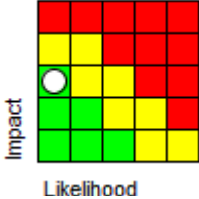
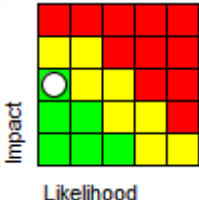
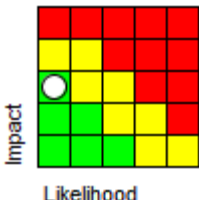
Risk Code	Risk Title	Description	Status						
HE 09	Food Safety	(a) Implementation of new FSA Code of Practice and Monitoring system (LAMES) (b) Introduction of Scores on the Doors							
<b>Consequences</b>		Consequent scrutiny of FSA							
<b>Original Matrix</b>		<b>Original Rating Description</b>							
		<b>Original Impact</b>	<table border="1"> <tr> <td data-bbox="1131 399 1467 438">B</td> <td data-bbox="1467 399 1780 438"></td> <td data-bbox="1780 399 2134 438">2</td> </tr> <tr> <td data-bbox="1131 438 1467 614">Minor</td> <td data-bbox="1467 438 1780 614"><b>Original Likelihood</b></td> <td data-bbox="1780 438 2134 614">Not Likely</td> </tr> </table>	B		2	Minor	<b>Original Likelihood</b>	Not Likely
B		2							
Minor	<b>Original Likelihood</b>	Not Likely							
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>							
		<b>Current Impact</b>	<table border="1"> <tr> <td data-bbox="1131 654 1467 694">B</td> <td data-bbox="1467 654 1780 694"></td> <td data-bbox="1780 654 2134 694">2</td> </tr> <tr> <td data-bbox="1131 694 1467 869">Minor</td> <td data-bbox="1467 694 1780 869"><b>Current Likelihood</b></td> <td data-bbox="1780 694 2134 869">Not Likely</td> </tr> </table>	B		2	Minor	<b>Current Likelihood</b>	Not Likely
B		2							
Minor	<b>Current Likelihood</b>	Not Likely							
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>							
		<b>Target Impact</b>	<table border="1"> <tr> <td data-bbox="1131 909 1467 949">A</td> <td data-bbox="1467 909 1780 949"></td> <td data-bbox="1780 909 2134 949">1</td> </tr> <tr> <td data-bbox="1131 949 1467 1125">Low</td> <td data-bbox="1467 949 1780 1125"><b>Target Likelihood</b></td> <td data-bbox="1780 949 2134 1125">Very Low</td> </tr> </table>	A		1	Low	<b>Target Likelihood</b>	Very Low
A		1							
Low	<b>Target Likelihood</b>	Very Low							
<b>Latest Progress</b>			<b>Last Review Date</b>						
19-Sep-2011 --new arrangements to provide cover.			11-Jun-2009						
			<b>SMT Lead</b>						
			Phil Long						

HE 10 Local Air Quality Management (LAQM)-Air Quality Review and Assessment


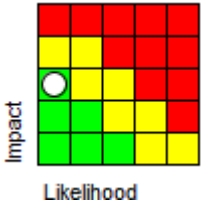
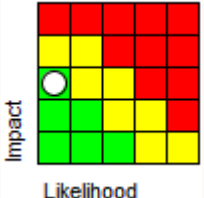
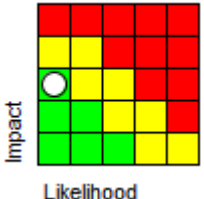
Risk Code	Risk Title	Description			Status
HE 10	Local Air Quality Management (LAQM)-Air Quality Review and Assessment				
<b>Consequences</b>		Failure to meet Defra deadline. Possibility of requirement to undertake modelling and /or Declare Air Quality management Area.-LA highlighted as a non responder. Ultimately LA would have to provide explanation to Minister			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
			Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
			Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	B	<b>Target Likelihood</b>	1
			Minor		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 --action plan to be tabled at Commissioning Board Sept 2011				11-Jun-2009	Phil Long

SS 01 Waste Management (recycling & refuse collection)				
Risk Code	Risk Title	Description	Status	
SS 01	Waste Management (recycling & refuse collection)	Meeting recycling standards. Vehicle failure. Strikes. Failure of management systems limited contracts for composting and dry recyclables		
<b>Consequences</b>		Failure to collect refuse to 23K households resulting in adverse publicity/environmental public health issues. Removal of service from RDC. Possible removal of funding. Difficulties in marketing recyclable products if businesses fail		
<b>Original Matrix</b>		<b>Original Rating Description</b>		
	<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
		Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>		
	<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
		Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>		
	<b>Target Impact</b>	C	<b>Target Likelihood</b>	1
		Medium		Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 --new recycling arrangements in place. Review taking place of rounds. Trackers in place on all vehicles as part of 6 month trial. New arrangements have increased control, reduced mileage and created savings			11-Jun-2009	Phil Long





**SS 02 Failure to provide a collection service for Trade Waste.**

Risk Code	Risk Title	Description			Status
SS 02	Failure to provide a collection service for Trade Waste.	Increased levels of landfill tax by central government plus LATS liabilities potentially pricing District provided trade waste out of the existing market. (NB external contractors are not faced with the same LATS penalties)			
<b>Consequences</b>		Loss of revenue. Loss of customers. Potential increase in cost re domestic waste collection. Revenue budget implications.			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
			Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
			Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	C	<b>Target Likelihood</b>	1
			Medium		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- trade waste service reviewed and viable at present.				11-Jun-2009	Phil Long

SS 03 Failure to provide a street cleaning service.


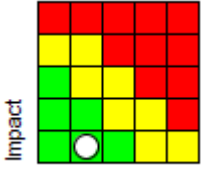
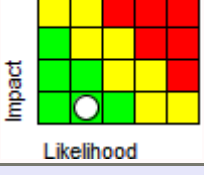
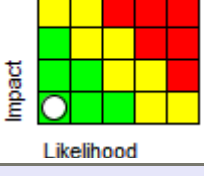
Risk Code	Risk Title	Description			Status
SS 03	Failure to provide a street cleaning service.				
<b>Consequences</b>		Court Action under Environment Protection Act. Adverse publicity. Environmental/public health issues if service not carried out. Reputation of the Council			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
			Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
			Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	C	<b>Target Likelihood</b>	1
			Medium		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- high quality service provision in place. rounds to be reviewed shortly				11-Jun-2009	Phil Long

SS 04 Failure to ensure that Taxi's are appropriately licensed.





Risk Code	Risk Title	Description	Status
SS 04	Failure to ensure that Taxi's are appropriately licensed.		
<b>Consequences</b>		Public not protected - physically/financially. Trade unregulated – no control on fees charges/vehicle safety.	
<b>Original Matrix</b>		<b>Original Rating Description</b>	
	<b>Original Impact</b>	C	1
		Medium	<b>Original Likelihood</b>
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>	
	<b>Current Impact</b>	C	1
		Medium	<b>Current Likelihood</b>
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>	
	<b>Target Impact</b>	C	1
		Medium	<b>Target Likelihood</b>
<b>Latest Progress</b>		<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -new enforcement arrangement being considered with York to enhance service continuity		11-Jun-2009	Phil Long




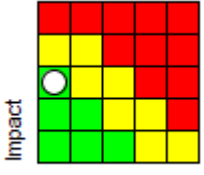
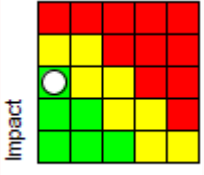
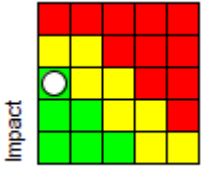
SS 05 Failure to secure and administer 'minor contracts'

Risk Code	Risk Title	Description			Status
SS 05	Failure to secure and administer 'minor contracts'				
<b>Consequences</b>		Loss of revenue. Loss of manpower.			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	A	<b>Original Likelihood</b>	2
			Low		Not Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	A	<b>Current Likelihood</b>	2
			Low		Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	A	<b>Target Likelihood</b>	1
			Low		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
				11-Jun-2009	Phil Long


SS 06 Failure to maintain vehicles.

Risk Code	Risk Title	Description	Status
SS 06	Failure to maintain vehicles.	Failure to comply with requirements of O licence.	
<b>Consequences</b>		HSE enforcement action. Operator's licence withdrawn. Potentially no services – depot closes. Corporate manslaughter charges.	
<b>Original Matrix</b>		<b>Original Rating Description</b>	
	<b>Original Impact</b>	C	1
		Medium	<b>Original Likelihood</b>
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>	
	<b>Current Impact</b>	C	1
		Medium	<b>Current Likelihood</b>
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>	
	<b>Target Impact</b>	C	1
		Medium	<b>Target Likelihood</b>
<b>Latest Progress</b>			<b>Last Review Date</b>
19-Sep-2011 --strict adherence to maintenance schedules. Vehicle fleet renewed as per vehicle maintenance programme. CPC holder in place to ensure high standards and compliance maintained			11-Jun-2009
			<b>SMT Lead</b>
			Phil Long

SS 07 failure to comply with Health & Safety

Risk Code	Risk Title	Description			Status
SS 07	failure to comply with Health & Safety				
<b>Consequences</b>		Injury to staff. Litigation. Reputation to the Council. Financial cost.			
<b>Original Matrix</b>		<b>Original Rating Description</b>			
		<b>Original Impact</b>	C	<b>Original Likelihood</b>	1
			Medium		Very Low
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>			
		<b>Current Impact</b>	C	<b>Current Likelihood</b>	1
			Medium		Very Low
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>			
		<b>Target Impact</b>	C	<b>Target Likelihood</b>	1
			Medium		Very Low
<b>Latest Progress</b>				<b>Last Review Date</b>	<b>SMT Lead</b>
19-Sep-2011 -- Comprehensive H&S system. Regular update of risk assessments. Accident rate reduced over last two years				11-Jun-2009	Phil Long

SS 08 Depot security is compromised.

Risk Code	Risk Title	Description	Status
SS 08	Depot security is compromised.		
<b>Consequences</b>		Stolen of damaged goods/property. Insurance costs.	
<b>Original Matrix</b>		<b>Original Rating Description</b>	
	<b>Original Impact</b>	C	3
		Medium	<b>Original Likelihood</b> Likely
<b>Current Risk Matrix</b>		<b>Current Rating Description</b>	
	<b>Current Impact</b>	C	2
		Medium	<b>Current Likelihood</b> Not Likely
<b>Target Risk Matrix</b>		<b>Target Rating Description</b>	
	<b>Target Impact</b>	C	1
		Medium	<b>Target Likelihood</b> Very Low
<b>Latest Progress</b>			<b>Last Review Date</b>
30-Aug-2011 --exterior lighting replaced and upgraded to provide additional security			11-Jun-2009
			<b>SMT Lead</b> Phil Long